

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING:

Regina H. White

TITLE IN ORGANIZATION:

Director of Office Sponsored Programs

ADDRESS OF PERSON SIGNING:

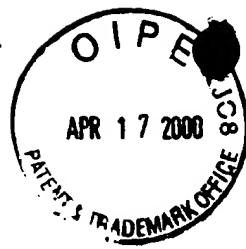
University of Vermont, Burlington, VT 05405

Signature

Regina H. White

Date

MAR 20 2000



Attorney Docket No. V0139/7038. (HCL)

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**RIP60 NUCLEIC ACID AND POLYPEPTIDE SEQUENCES AND USES THEREFOR**

the specification of which is attached hereto unless the following is checked:

[X] was filed on January 4, 2000, as United States Application No. 09/477,392 or  
(Include Series Code)  
International Application No. \_\_\_\_\_, bearing attorney docket No.  
V0139/7038, and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or section 365(a) of any PCT International application designating at least one country other than the United States listed below and have also identified below any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed:

Prior Foreign PCT International Application(s) and any priority claims under 35 U.S.C. §§119 and 365(a), (b):

(Number)	(Country-if PCT, so indicate)	(DD/MM/YY Filed)	Priority	Claimed
			[ ]	[ ]
			YES	NO
			[ ]	[ ]

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

<u>60/114,743</u> (Application Number)	<u>January 4, 1999</u> (filing date)
<u>60/114,745</u> (Application Number)	<u>January 4, 1999</u> (filing date)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s), or §365(c) of any PCT International application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:



Applicant: Nicholas H. Heintz and Christopher R. Houchens  
 Serial No.: 09/477,392  
 Filed: January 4, 2000  
 For: RIP60 NUCLEIC ACID AND POLYPEPTIDE SEQUENCES AND USES THEREFOR

**DECLARATION BY A NON-INVENTOR SUPPORTING A CLAIM BY ANOTHER  
FOR SMALL ENTITY STATUS (NONPROFIT ORGANIZATION)**

I hereby declare that I am making this verified statement to support a claim by for small entity status for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, with regard to the invention entitled RIP60 NUCLEIC ACID AND POLYPEPTIDE SEQUENCES AND USES THEREFOR by inventor(s) Nicholas H. Heintz and Christopher R. Houchens, described in

[x] application serial no. 09/477,392, filed January 4, 2000.

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION: University of Vermont and State Agricultural College  
 ADDRESS OF ORGANIZATION: Burlington, Vermont 05405

TYPE OR ORGANIZATION:

[X] UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41 (a) and (b) of Title 35, United States Code with regard to the above-identified invention.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above-identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

[X] no such person, concern, or organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

(Application No.)

(filing date)

(status-patented, pending, abandoned)

(Application No.)

(filing date)

(status-patented, pending, abandoned)

PCT International Applications designating the United States:

(PCT Appl. No.)

(U.S. Ser. No.)

(PCT filing date) (status-patented, pending, abandoned)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

David Wolf	17,528	Peter J. Gordon	35,164	Robert A. Skriwanek, Jr.	41,316
George L. Greenfield	17,756	Randy J. Pritzker	35,986	Robert M. Abrahamsen	40,886
Stanley Sacks	19,000	Richard F. Giunta	36,149	Ivan D. Zitkovsky	37,482
Edward F. Perlman	28,105	Douglas R. Wolf	36,971	Michele J. Young	43,299
Lawrence M. Green	29,384	Elizabeth R. Plumer	36,637	Edward Russavage	43,069
Steven J. Henry	27,900	Timothy J. Oyer	36,628	Alan B. Sherr	42,147
Therese A. Hendricks	30,389	John N. Anastasi	37,765	John C. Gorecki	38,471
Edward R. Gates	31,616	Helen C. Lockhart	39,248	William G. Gosz	27,787
William R. McClellan	29,409	James M. Hanifin, Jr.	38,213	Neil P. Ferraro	39,188
Ronald J. Kransdorf	20,004	Christopher S. Schultz	37,929	Julie A. Beberman	40,906
M. Lawrence Oliverio	30,915	Paul D. Sorkin	39,039	Lisa E. Winsor	44,405
Jason M. Honeyman	31,624	John R. Van Amsterdam	40,212	Robert Plotkin	-A3,861
James H. Morris	34,681	Matthew B. Lowrie	38,228	Joseph Teja, Jr.	-P-45,157
Peter C. Lando	34,654	Michael G. Verga	39,410	Alan W. Steele	P-45,128
Gary s. Engelson	35,128	Robert E. Rigby, Jr.	36,904	Jeffrey B. Powers	P-45,021

Address all telephone calls to Helen C. Lockhart at telephone no. (617) 720-3500. Address all correspondence to:

Helen C. Lockhart  
c/o Wolf, Greenfield & Sacks, P.C.,  
Federal Reserve Plaza  
600 Atlantic Avenue  
Boston, MA 02210-2211

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Nicholas H. Heintz  
Inventor's signature

3/6/2000  
Date

Full name of sole or first inventor Nicholas H. Heintz

Citizenship US

Residence (City and State, or City and Country for non-U.S. residence)

Jericho, Vermont

Post Office Address 302 Mill Brook Road, Jericho, Vermont 05465

Inventor's signature

Date

Full name of second or joint inventor Christopher R. Houchens

Citizenship US

Residence (City and State, or City and Country for non-U.S. residence)

Baltimore, Maryland

Post Office Address 4135 Roland Avenue, Baltimore, MD 21211

Attorney Docket No. V0139/7038 (HCL)

## DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

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## RIP60 NUCLEIC ACID AND POLYPEPTIDE SEQUENCES AND USES THEREFOR

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Prior Foreign PCT International Application(s) and any priority claims under 35 U.S.C. §§119 and 365(a), (b):	Priority Claimed
	[ ] [ ] YES NO

(Number)	(Country-if PCT, so indicate)	(DD/MM/YY Filed)	[ ] [ ] YES NO
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## PCT International Applications designating the United States:

(PCT Appl. No.)	(U.S. Ser. No.)	(PCT filing date) (status-patented, pending, abandoned)
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Edward F. Perlman	28,105	Douglas R. Wolf	36,971	Michele J. Young	43,299
Lawrence M. Green	29,384	Elizabeth R. Plumer	36,637	Edward Russavage	43,069
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M. Lawrence Oliverio	30,915	Paul D. Sorkin	39,039	Lisa E. Winsor	44,405
Jason M. Honeyman	31,624	John R. Van Amsterdam	40,212	Robert Plotkin	43,861
James H. Morris	34,581	Matthew B. Lowrie	38,228	Joseph Teja, Jr.	P-45,157
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Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_  
 Full name of sole or first inventor Nicholas H. Heintz  
 Citizenship US  
 Residence (City and State, or City and Country for non-U.S. residence)  
 Jericho, Vermont  
 Post Office Address 302 Mill Brook Road, Jericho, Vermont 05465

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_  
 Christopher R. Houchens  
 Full name of second or joint inventor Christopher R. Houchens  
 Citizenship US  
 Residence (City and State, or City and Country for non-U.S. residence)  
 Baltimore, Maryland  
 Post Office Address 4135 Roland Avenue, Baltimore, MD 21211